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# Protocols for Authentication and Key Establishment

- A Tutorial Introduction to Authentication and Key Establishment -

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#### Introduction

- Subject of cryptographic protocols for authentication and key management is likely to be bemused by the sheer variety of techniques and technical background required
- Even before this stage is reached a more fundamental question needs to be faced
  - "What are these protocols there for at all?"
    - To answer this question it is necessary to provide an understanding of what sets cryptographic protocols apart from other types of protocols
- It provides necessary background material for those readers who are not already familiar with the topic of cryptographic protocols

- This section explication an attempt to design one good protocol
- Before designing any protocol the communications architecture must be established
- Our Scenario has a set of users, any two of whom may wish to establish a <u>new key</u> for use in securing their subsequent communications through cryptography
  - Such a key is known as a session key
- Once an appropriate key has been established its use comes in protecting the real data to be communicated with whatever cryptographic mechanisms are chosen

- In order to achieve their aim the users interact with an entity called the server which will also engage in the protocol
- All users trust the server to execute the protocol faithfully and not to engage in any other activity that will deliberately compromise their security
  - Furthermore, the server is trusted to generate the new key and to do so in such a way that it is sufficiently random to prevent an attacker gaining any useful information about it

#### Protocols involve three entities

- These are two users whom we denote A and B and the trusted server S
- The aim of the protocol is for A and B to establish a new secret key  $K_{AB}$
- The role of S is to generate K<sub>AB</sub> and transport it to A and B

#### Aims of the Protocol

- 1. At the end of the protocol the value of  $K_{AB}$  should be known to both A and B, but to no other with the possible exception of S
- 2. A and B should know that  $K_{AB}$  is newly generated

- Protocol to achieve transport of a new session key  $K_{AB}$ 
  - 1. User *A* contacts *S* by sending the identities of the two parties who are going to share the new session key
  - 2. Trusted Server S returns the key  $K_{AB}$  to A
  - 3. User A passes  $K_{AB}$  on to B
  - Generally use two different formats for protocol descriptions

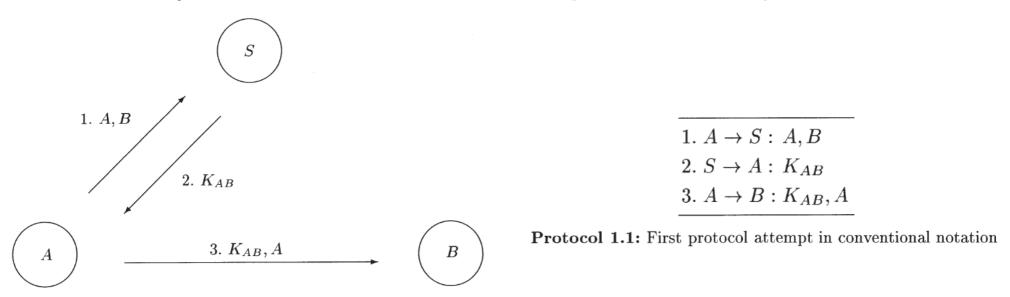


Fig. 1.1. First protocol attempt

- Confidentiality
  - Security Assumption 1
    - The adversary is able to eavesdrop on all messages sent in a cryptographic protocol
  - In order to provide confidentiality it is necessary to use a cryptographic algorithm and associated key

 For now we will simply make the assumption that the server S initially shares a secret key with each user of the system

A passive eavesdropper cannot see K<sub>AB</sub> since encrypted messages may only be read by the legitimate recipients who have the keys required to decrypt

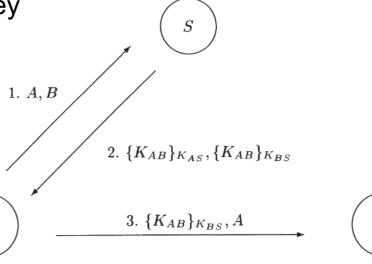


Fig. 1.2. Second protocol attempt

#### Authentication

- Security Assumption 2
  - The adversary is able to alter all messages sent in a cryptographic protocol using any information available
  - In addition the adversary can reroute any message to any other principal
    - This includes the ability to generate and insert completely new messages
- The adversary C simply intercepts the message from A to B and substitutes D's identity for A's
  - Where D could be any identity including C's own
- The consequence is that B believes that he is sharing the key with D whereas he is in fact sharing it with A

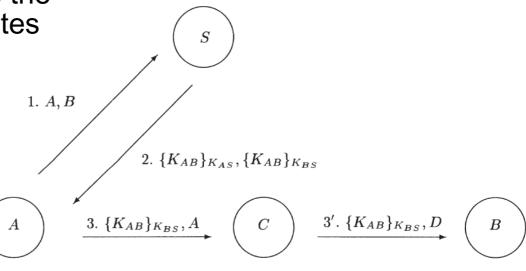


Fig. 1.3. Attack on the second protocol attempt

#### Authentication

- Another attack on the protocol does allow C to obtain the session key
  - C alters the message from A to S so that S encrypts the  $K_{AC}$  with C's key,  $K_{CS}$ , instead of with B's key
    - Since *A* cannot distinguish between encrypted messages meant for other principals she will not detect the alteration
- Result of this attack
  - A will believe that the protocol has been successfully completed with B whereas in fact C knows  $K_{AC}$  and so can masquerade as B as well as learn all the information that A sends to B
- In contrast to the previous attack
  - This one will only succeed if C is a legitimate user known to S

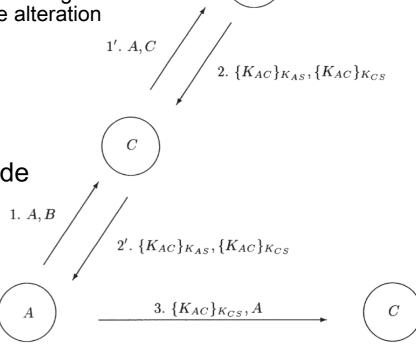


Fig. 1.4. Alternative attack on second protocol attempt

#### Authentication

- Security Assumption 3
  - The adversary may be a legitimate protocol participant(an insider), or an external party(an outsider), or a combination of both
- To overcome the attack
  - The names of the users who are to share K<sub>AB</sub> need to be bound cryptographically to the value of  $K_{AB}$
  - Where the names of A and B are included in the encrypted messages received from S
  - It can easily be checked that in this protocol neither of the two attacks on the protocol of Fig. 1.2 will succeed

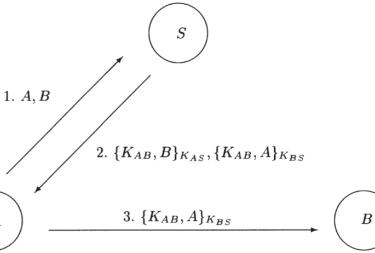


Fig. 1.5. Third protocol attempt

- Reason that a new key is generated for each session
  - Session keys are expected to be vulnerable to attack
    - They may be placed in relatively insecure storage and could easily be discarded carelessly after the session is closed
  - 2. Communications in different sessions should be separated
    - In particular, it should not be possible to replay messages from previous sessions
- For these reasons a whole class of attacks becomes possible based on the notion that old keys may be replayed in a subsequent session
- Notice that even if A is careful in the management of session keys used by her, compromise of a session key by B may still allow replay attacks when A communicates with B

- Security Assumption 4
  - An adversary is able to obtain the value of the session key K<sub>AB</sub> used in any sufficiently old previous run of the protocol
- Replay attack on protocol
  - C intercepts the message from A to S
    - Indeed S plays no part in the protocol
  - The Key  $K'_{AB}$  is an old session key used by A and B in a previous session
  - By Security Assumption 1
    - C can be expected to know the encrypted messages via which  $K'_{AB}$  was transported to A and B
  - By Security Assumption 4
    - C can be expected to know the value of K'<sub>AB</sub>

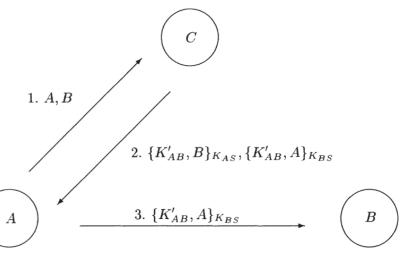


Fig. 1.6. Attack on third protocol attempt

- Definition 1.1
  - A nonce is a random value generated by one party and returned to that party to show that a message is newly generated
- Generate nonce on protocol
  - User A send nonce  $N_A$  to S at the start of the protocol together with the request for a new key
    - If this same value is received with the session key then A can deduce that the key has not been replayed
  - Since B does not directly contact the S
    - It is inconvenient for him to send his own nonce to S to be returned with  $K_{AB}$
  - User B generate a nonce N<sub>B</sub> and send this to A protected by  $K_{AB}$  itself

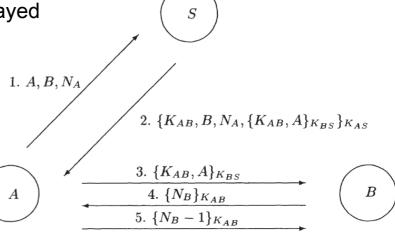


Fig. 1.7. Fourth protocol attempt (Needham-Schroeder)

- The protocol in Fig 1.7
  - Their attack illustrates that there was a flaw in the above argument used to justify the protocol design
    - This can be pinpointed to an assumption that only A will be able to form a correct reply to message 4 from B
- In the attack in Fig 1.8
  - Since the adversary C can be expected to know the value of an old session key, this assumption is unrealistic
  - C masquerades as A and is thus able to persuade B to use the old key K'<sub>AB</sub>

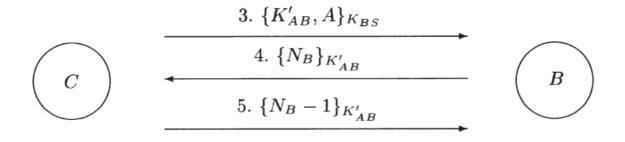


Fig. 1.8. Attack on fourth protocol attempt

#### Replay

- In the protocol of Fig 1.9
  - To enable both users to send their nonces to S
    - The protocol is now initiated by B who sends his nonce,  $N_R$ , first to A

• A adds her nonce  $N_A$ , and sends both to S who is now able to return  $K_{AB}$  in separate messages for *A* and *B* 

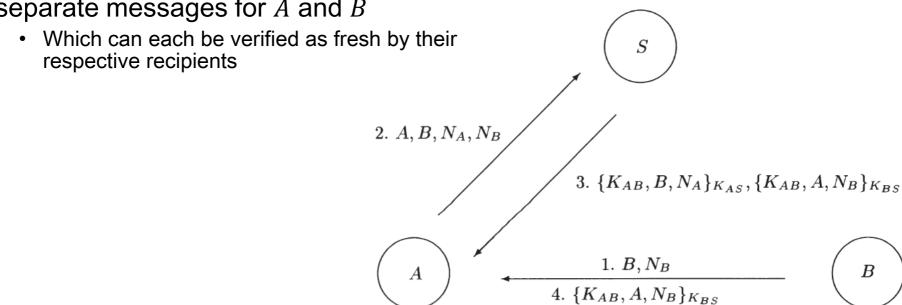


Fig. 1.9. Fifth protocol attempt

- It is worth nothing that it has been a very common pattern for published protocols to be subsequently found to be flawed
- Each time a new protocol is designed and an attack is found our understanding of protocol design improves
- The frequent occurrence of such attacks should be a caution, particularly for implementers of security protocols

#### **Protocol Architecture**

TBA

# Cryptographic Properties

#### TBA

#### Freshness

• TBA

# Types of Attack on Protocols

#### TBA

#### Design Principles for Cryptographic Protocols

TBA

### Thanks!

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